

NOV 30 2006  
FACSIMILE TRANSMISSION COVER SHEET

Date: 30 November 2006

TO:	MAILSTOP AF (Ex: A. Lavarlas - Unit 2872)
COMPANY/FIRM:	USPTO
PHONE NO.:	571-272-2315
FACSIMILE NO.:	571-273-8300

FROM:	William J. Barber
COMPANY/FIRM:	Ware, Fressola, Van Der Sluys & Adolphson LLP
PHONE NO.:	(203)261-1234
FACSIMILE NO.:	(203)261-5676

CONFIRMATION BY MAIL: No

Number of pages (including this transmittal page): 12

Serial No. 10/661,031

Our Ref. No.: 714-1.20 (IP-15A)

## MESSAGE

Thanks,  
William J. Barber, Esq.  
Reg. No 32,720

If facsimile transmission is incomplete, please notify sender at (203)261-1234.

**WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP**  
**BRADFORD GREEN, BUILDING FIVE**  
**755 MAIN STREET, MONROE, CONNECTICUT 06468**  
**TELEPHONE NO. (203) 261-1234**  
**FACSIMILE NO. (203) 261-5676**

THE INFORMATION CONTAINED IN THIS FACSIMILE IS CONFIDENTIAL AND MAY ALSO BE ATTORNEY-CLIENT PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU ARE NOT THE INTENDED RECIPIENT, OR THE AGENT OR EMPLOYEE RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE AND RETURN THE RECEIVED MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Practitioner's Docket No. 714-001.020 (IP-15A)

NOV 30 2006

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: John Moon, et al

Application No.: 10/661,031

Group No.: 2872

Filed: September 12, 2003

Examiner: *A. LAUARIAS*For: **DIFFRACTION GRATING-BASED ENCODED MICRO-PARTICLES  
FOR MULTIPLEXED EXPERIMENTS**Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Fax no. (571) 273-8300

**RESPONSE AFTER FINAL TRANSMITTAL**

1. Transmitted herewith is a response after final rejection for this application.

**STATUS**

2. Applicant is  
a small entity. A statement:
- ☐ is attached.
- ☒ was already filed.
- other than a small entity.

**CERTIFICATE OF MAILING/TRANSMISSION UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**  
deposited with the United States Postal  
Service with sufficient postage as first-  
class mail, in an envelope addressed to  
Mail Stop Amendment, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

Date: November 30, 2006

☒ **FACSIMILE**  
transmitted by facsimile to the  
U.S. Patent and Trademark Office.

*William J. Barber*  
Signature  
William J. Barber  
(type or print name of person certifying)

12/01/2006 LUNDIR1 00000023 230442 10661031

01 FC:1253 1020.00 DA

(Amendment Transmittal [9-18] - page 1 of 4)

NOV 30 2006

Attorney Docket No. 714-001.020  
Serial No. 10/661,031**EXTENSION OF TERM**

NOTE: \*Extension of Time in Patent Cases (Supplement Amendments) - If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. §1.645 for extensions of time in interference proceedings, and 37 C.F.R. §1.560(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. §1.136 apply.

(complete (a) or (b), as applicable)

- (a) Applicant petitions for an extension of time under 37 C.F.R. §1.136 (fees: 37 C.F.R. §1.17(a)(1)-(4)) for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
one month	\$ 120.00	\$ 60.00
two months	\$ 450.00	\$225.00
X three months	\$1,020.00	\$510.00
four months	\$1,590.00	\$795.00

Fee: \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**FEE FOR CLAIMS**

(Amendment Transmittal [8-18] - page 2 of 4)

Attorney Docket No. 714-001.020  
Serial No. 10/661,031

4. The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL:	MINUS	= 0	x \$ 25 = \$			x \$ 50 = \$ 0	
INDEP:	MINUS	= 0	x \$ 100 = \$			x \$ 200 = \$ 0	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+\$180=\$		+\$360=\$ 0		
			TOTAL ADDL. FEE	\$		TOTAL ADDL. FEE	\$ 0

WARNING: "After final rejection or action (§1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. §1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) a petition fee under 37 CFR 1.20(d) is required.

OR

(d) ☐ Total additional fee for claims required is \$\_\_\_\_\_.

#### FEE PAYMENT

5. Attached are two checks in the sum of \$\_\_\_\_\_.

☐ Charge Account No. \_\_\_\_\_ the sum of \$\_\_\_\_\_. A duplicate of this transmittal is attached.

(Amendment Transmittal [9-19] - page 3 of 4)

Attorney Docket No. 714-001.020  
Serial No. 10/661,031

**FEE DEFICIENCY**

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1988 (1065 O.G. 31-35).

6. ☒ If any additional extension and/or fee is required, charge Account No. 23-0442.

**AND/OR**

- ☒ If any additional fee for claims is required, charge Account No. 23-0442.



Signature of Practitioner

Reg. No.: 32,720

LLP

Telephone No.: (203) 261-1234

Customer No.: 004955

William J. Barber  
Ware, Fressola, Van Der Sluys & Adolphson

Bradford Green, Building Five  
755 Main Street, P.O. Box 224  
Monroe, CT 06468

(Amendment Transmittal [9-19] - page 4 of 4)